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| Annual PHA Plan <i>(Standard PHAs and Troubled PHAs)</i> | U.S. Department of Housing and Urban Development Office of Public and Indian Housing | OMB No. 2577-0226 Expires: 03/31/2024 |
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Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, including changes to these policies, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Applicability. The Form HUD-50075-ST is to be completed annually by **STANDARD PHAs or TROUBLED PHAs**. PHAs that meet the definition of a High Performer PHA, Small PHA, HCV-Only PHA or Qualified PHA do not need to submit this form.

Definitions.

- (1) **High-Performer PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments if administering both programs, or PHAS if only administering public housing.
- (2) **Small PHA** - A PHA that is not designated as PHAS or SEMAP troubled, that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) **Housing Choice Voucher (HCV) Only PHA** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) **Standard PHA** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) **Troubled PHA** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) **Qualified PHA** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined and is not PHAS or SEMAP troubled.

| A. PHA Information. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---------------------|---|-----------------------------|---------------------------------|------------------------------|-----|--------------------|----------|-----------------------------|---------------------------------|------------------------------|--|----|-----|-----------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| A.1 | <p>PHA Name: Housing Authority of Cook County PHA Code: IL025</p> <p>PHA Type: <input type="checkbox"/> Standard PHA <input checked="" type="checkbox"/> Troubled PHA</p> <p>PHA Plan for Fiscal Year Beginning: (MM/YYYY): 04/2025</p> <p>PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)</p> <p>Number of Public Housing (PH) Units: <u>263</u> Number of Housing Choice Vouchers (HCVs): <u>13,888</u></p> <p>Total Combined Units/Vouchers: <u>14,151</u></p> <p>PHA Plan Submission Type: <input checked="" type="checkbox"/> Annual Submission <input type="checkbox"/> Revised Annual Submission</p> <p>Availability of Information. PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.</p> <p><input type="checkbox"/> PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) in the Consortia</th> <th rowspan="2">Program(s) not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>Lead PHA:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> | | | | | Participating PHAs | PHA Code | Program(s) in the Consortia | Program(s) not in the Consortia | No. of Units in Each Program | | PH | HCV | Lead PHA: | | | | | | | | | | | | | | | | | |
| Participating PHAs | PHA Code | Program(s) in the Consortia | Program(s) not in the Consortia | No. of Units in Each Program | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | PH | HCV | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Lead PHA: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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B. Plan Elements

B.1 Revision of Existing PHA Plan Elements.

(a) Have the following PHA Plan elements been revised by the PHA?

Y N

- Statement of Housing Needs and Strategy for Addressing Housing Needs
- Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.
- Financial Resources.
- Rent Determination.
- Operation and Management.
- Grievance Procedures.
- Homeownership Programs.
- Community Service and Self-Sufficiency Programs.
- Safety and Crime Prevention.
- Pet Policy.
- Asset Management.
- Substantial Deviation.
- Significant Amendment/Modification

(b) If the PHA answered yes for any element, describe the revisions for each revised element(s):

Financial Resources: Sources and Uses.

Sources and Uses of Cash

| Sources of Cash | | Uses of Cash | |
|-----------------------|--------------------|---------------------------------|--------------------|
| HCV Program | 142,098,606 | HCV Program | 142,098,606 |
| Management Fees | 5,832,118 | Central Office Expense | 5,854,268 |
| Rental Income | 699,514 | Low Income Property Housing | 2,418,560 |
| HUD Operating Subsidy | 1,719,046 | Capitla Projects | 1,317,538 |
| HUD Capitla Grants | 1,317,538 | Justice Advisory Program (ARPA) | 1,395,000 |
| Other Revenue | 22,150 | Care Coordinator (ARPA) | 2,400,000 |
| ARPA Grants | 5,090,000 | Broadband (ARPA) | 1,295,000 |
| | 156,778,972 | | 156,778,972 |

(c) The PHA must submit its Deconcentration Policy for Field Office review.

B.2 New Activities.

(a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year?

Y N

- Hope VI or Choice Neighborhoods.
- Mixed Finance Modernization or Development.
- Demolition and/or Disposition.
- Designated Housing for Elderly and/or Disabled Families.
- Conversion of Public Housing to Tenant-Based Assistance.
- Conversion of Public Housing to Project-Based Rental Assistance or Project-Based Vouchers under RAD.
- Occupancy by Over-Income Families.
- Occupancy by Police Officers.
- Non-Smoking Policies.
- Project-Based Vouchers.
- Units with Approved Vacancies for Modernization.
- Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project-based units and general locations, and describe how project basing would be consistent with the PHA Plan.

The HACC intends to undertake new activities related to the following:

- Demolition and/or Disposition
- Conversion of Public Housing to Project-Based Rental Assistance or Project-based Vouchers under RAD
- Project-Based Vouchers
- Units with Approved Vacancies for Modernization

The HACC is considering various repositioning strategies to improve the quality of aging housing stock while leveraging public and private resources, easing administrative burdens, and preserving current affordable housing. The HACC’s repositioning efforts will provide affected communities with the flexibility necessary to better meet residents’ local needs and funding opportunities facilitating affordable housing’s long-term viability. HUD initiatives/tools being considered for HACC’s remaining LIPH assets include Section 18 Demolition/Disposition, Section 18 Disposition, and RAD. HACC intends to reposition all remaining LIPH assets over the next 3-5 years.

Narrative: Demolition, Disposition, and Conversion of Public Housing.

AMP IL025000005: Sunrise-Bergen Family Sites (Chicago Heights, IL)

The HACC, in conjunction with a private co-developer, is in the process of submitting various Federal, State, and local applications to modernize and redevelop 107 units within this 172-unit AMP. These improvements will support quality living conditions at the Property and throughout broader Chicago Heights while preserving these units as affordable housing. HACC has determined that it is not financially or operationally feasible to maintain all 172 units at this location. The HACC is engaging in a land swap with the City of Chicago Heights for the purpose of rehabilitation, selective demolition, and new construction of the Sunrise Apartments and Bergen Homes site in Chicago Heights. With SAC approval, 65 units have already been demolished and another 26 units will be demolished and re-built across the street, aiding deconcentration. The property will be conveyed to the LIHTC/RAD project owner entity, of which HACC will be a partner. The remaining 81 LIPH units will be converted to Project-Based Vouchers under RAD. The 26 newly constructed units will also receive Project-Based Vouchers to maintain the Property’s affordability.

Upon conversion to Project-Based Vouchers, the HACC will adopt the Resident Rights, Participation, Waiting List, and Grievance Procedures listed in Section 1.6 of H 2019-09/PIH 2019-23, REV-4, H-2016-17/PIH-2016-17, and any subsequent Notices. These rights and procedures are appended to this document. The HACC certifies that it is currently compliant with all fair housing and civil rights requirements, including those described in Section 5.2 of Notice H 2016-17/PIH2016-17.

Please find specific information related to the Public Housing Development(s) selected for RAD below:

Sunrise-Bergen

| | | | |
|--|---|---|--|
| Name of Public Housing Project: Sunrise Apts | PIC Development ID: IL025000005 | Conversion Type: RAD/Section 18 Blend | Transfer of Assistance: N/A |
| Total Units: 107 | Pre-RAD Unit Type: Family | Post-RAD Unit Type: Family | Capital Fund allocation of Development: TBD |
| Bedroom Type: | Number of Units Pre-Conversion | Number of Units Post-Conversion | Change in Number of Units per Bedroom Type and Why: |
| <i>One bedroom</i> | 32 | 38 | Add 6 |
| <i>Two Bedroom</i> | 45 | 43 | Less 2 |
| <i>Three Bedroom</i> | 30 | 26 | Less 4 |
| Total | 107 | 107 | <i>All changes due to bedroom distribution of units</i> |

For AMP IL025000029, AMP IL025000098, and AMP IL025000099:

The HACC has received HUD approval for asset repositioning as outlined in HUD Notice PIH 2018-04 regarding Scattered Sites. Disposition and redevelopment initiatives will provide a more sustainable financial foundation for the Agency’s affordable housing stock to remain viable long-term.

- AMP IL025000029 received Special Applications Center (SAC) approval to demolish 4 Scattered Site units located at 508–514 South Boulevard in Evanston, IL and transfer ownership of the site to South Boulevard Shores, LLC. This is part of a tax credit partnership including HACC as Managing Member, a HACC/City-selected developer as Administrative Member, and an investor as Limited Partner.

- South Boulevard Shores, LLC will use the acquired land to construct a new 5-story, 60-unit mixed-income building containing 18 PBV-awarded units (30% of the building's total units). The land acquired post-demolition will be subject to HUD Use Restrictions and are part of the 52 overall units to be restricted—a condition of initial SAC approval. As a result, the HACC will receive disposition proceeds of approximately \$275,000. Any disposition proceeds will be used for Public Housing or Section 8-related purposes.

For AMPs IL025000051, IL025000052 and IL025000100:

HACC is considering multiple repositioning strategies to facilitate the improvement of the Agency's current housing stock while effectively leveraging public/private resources, easing administrative burdens, and preserving affordable housing. The HACC's repositioning strategy aims to provide the Wheeling community with financial flexibility to better meet local needs and funding opportunities supporting affordable housing's long-term viability. Streamlined Conversion, Section 18 Demolition/Disposition, Section 18 Disposition, and RAD are all HUD tools being considered for HACC's remaining LIPH assets.

Narrative: Project-Based Vouchers.

The HACC is committed to the goals of de-concentrating poverty and expanding housing and economic opportunities for its participants, which can be accomplished, in part, by Project-based Voucher (PBV) assistance. In offering the PBV assistance, the HACC has two primary objectives: (1) to produce the highest quality rental housing units for low-income families within diverse and healthy communities within suburban Cook County, and, (2) to promote the stabilization and revitalization of communities within suburban Cook County.

In the HACC's 2024 Request for Proposals (RFP) for developers interested in utilizing PBVs in the development of affordable housing, an emphasis was placed on revitalization and new construction of quality affordable housing in underserved communities. This effort supports HUD's Strategic Goal #1, to support underserved communities and Executive Orders 13985 and 14091.

Families with children continue to be a priority for the HACC. Research shows that, the younger children are when they move to Opportunity Areas with reduced crime, lower poverty, better schools, and a healthy living environment, the more successful they are as adults. The HACC's RFP also places an emphasis on quality affordable housing for families in Opportunity Areas.

Additionally, providing Permanent Supportive Housing (PSH) for people with disabilities who may need supportive services to live independently in the community is a priority. Providing quality, affordable housing in combination with supportive services empowers people with disabilities to thrive.

Since April 1, 2023, the HACC has placed 205 units under HAP contracts. Additionally, 60 units have been placed under AHAP contracts, with construction anticipated to be completed on all 60 by the end of 2025. In its 2024 PBV RFP round, the HACC is seeking to award 250 PBVs to support its goals.

Narrative: Units with Approved Vacancies for Modernization.

The HACC has continuously requested approval to designate public housing units at IL025000005 (Sunrise-Bergen) and IL025000051 (Summit Senior Living) as "Vacant Approved - Undergoing Modernization." Since Revitalization and RAD Conversion initiatives are still pending at Sunrise-Bergen, re-leasing is on pause until residents are moved out.

Units at Summit Senior Living are currently undergoing rehabilitation. Because tenants are being relocated within the building during this process, vacancy rates will continue to shift until the project's completion in December 2024.

B.3 Progress Report.

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year and Annual Plan.

Goal #1: Reposition all public housing assets to ensure long-term sustainability.

HACC has made progress in repositioning its remaining public housing assets:

AMP IL025000005 – Chicago Heights Family Sites

HACC is working with a private developer, Brinshore Development, to modernize and redevelop 107 units at this site. 26 units will be demolished and re-built across the street. The remaining 81 LIPH units will be converted to Project Based Vouchers under RAD and modernized. The 26 newly constructed units will receive Project-Based Vouchers as well, in order to maintain the long-term affordability of the development.

AMP IL025000099, AMP IL025000029 and AMP IL025000098

HACC has received approval for disposition under HUD guidance regarding asset repositioning, including Notice PIH 2018-44 regarding scattered sites. HACC has secured a consultant to help us prepare for the potential transaction and to identify funding to redevelop and convert these units to RAD. This will provide better financial footing for the units to remain viable as low-income housing over the long-term.

Goal #2: Create additional affordable housing.

HACC has completed the development of Otto Veterans Square in Chicago Heights, IL, a brand-new, 82-unit development designed for veterans and their families. The property's unique and high-end features include a media room, meditation garden, walking paths, social services, and multiple community spaces. Leasing for the building is underway as of October 2024.

Goal #3: Improve technology.

Through a multi-year grant from Cook County, HACC is in the process of installing Wi-Fi access at each of its 16 properties, providing a critical resource to over 1,500 residents. HACC has also solicited proposals to digitize its filing systems for HCV participants, residents, and

employees. HACC believes that digitizing the Agency’s current paper filing system will greatly improve productivity and customer service across all departments.

Goal #4: Provide excellent customer service.

Recently, 40 Housing Specialists in HACC’s HCV department completed training and became Certified HCV Specialists. This training, which is part of HACC’s commitment to staff development and excellent customer service, is the first of multiple regular trainings to be required by HACC.

Goal #5: Seek & receive additional non-traditional funding resources.

HACC has received a multi-year grant from Cook County to provide comprehensive behavioral health services to residents at all 16 HACC properties. We will continue to seek alternative funding opportunities to maintain these services after the current grant period expires in 2026. HACC consistently seeks out funding opportunities from both public and private sources.

B.4 Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan in EPIC and the date that it was approved.

PROPOSED Capital Fund Program FY25

- DRAFT -

| DESCRIPTION | ACCT | AMP | BUDGET | OBLIGATED | EXPENDED | BALANCE | Comments |
|-----------------------|------|-----------------------|----------------------|-------------|-------------|----------------------|----------|
| Operations | 1406 | PHA-WIDE | \$ 192,000.00 | \$ - | \$ - | \$ - | |
| Administration | 1410 | CFP Salaries/Benefits | \$ 77,110.00 | \$ - | \$ - | \$ - | |
| Unit Rehab/Make Ready | 1480 | Sunrise | \$ 100,000.00 | \$ - | \$ - | \$ - | |
| Development Cost | 1480 | South Boulevard | \$ 401,997.00 | \$ - | \$ - | \$ - | |
| Total | | | \$ 771,107.00 | \$ - | \$ - | \$ - | |
| | | | | | | \$ 771,107.00 | |

B.5 Most Recent Fiscal Year Audit.

Note: The HACC’s financial audit for FY23 is still in progress and is expected to be completed by this Plan’s January submission deadline.

(a) Were there any findings in the most recent FY Audit?

Y N

(b) If yes, please describe:

C. Other Document and/or Certification Requirements.

C.1 Resident Advisory Board (RAB) Comments.

(a) Did the RAB(s) have comments to the PHA Plan?

Y N

(b) If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.

C.2 Certification by State or Local Officials.

[Form HUD 50077-SL](#), *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan.

C.3 Civil Rights Certification/ Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan.

Form HUD-50077-ST-HCV-HP, *PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed*, must be submitted by the PHA as an electronic attachment to the PHA Plan.

| | | | | | |
|--|--|----------------------------------|---|--|----------------------------------|
| <p>C.4</p> | <p>Challenged Elements. If any element of the PHA Plan is challenged, a PHA must include such information as an attachment with a description of any challenges to Plan elements, the source of the challenge, and the PHA's response to the public.</p> <p>(a) Did the public challenge any elements of the Plan?</p> <p>Y N <input type="checkbox"/> <input type="checkbox"/></p> <p>If yes, include Challenged Elements.</p> | | | | |
| <p>C.5</p> | <p>Troubled PHA.</p> <p>(a) Does the PHA have any current Memorandum of Agreement, Performance Improvement Plan, or Recovery Plan in place? Y N N/A <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p>(b) If yes, please describe:</p> | | | | |
| <p>D. Affirmatively Furthering Fair Housing (AFFH).</p> | | | | | |
| <p>D.1</p> | <p>Affirmatively Furthering Fair Housing (AFFH).</p> <p>Provide a statement of the PHA's strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5). Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at 24 CFR § 903.7(o) enacted prior to August 17, 2015. See Instructions for further detail on completing this item.</p> <table border="1" data-bbox="180 1255 1455 1707"> <tr> <td data-bbox="180 1255 1455 1297"> <p>Fair Housing Goal:</p> </td> </tr> <tr> <td data-bbox="180 1297 1455 1339"> <p><i><u>Describe fair housing strategies and actions to achieve the goal</u></i></p> </td> </tr> <tr> <td data-bbox="180 1339 1455 1707"> <p>AFFH Goal #1: Re-introduce the Mobility Counseling Program</p> <ul style="list-style-type: none"> • The HACC will re-vamp its previous mobility counseling program to educate participants living in high poverty census tracts about the benefits of moving to areas of greater opportunity. • Participants will attend workshops on financial literacy, landlord/tenant relations, housing search, and good neighbor relationships. • Participants will work with a Mobility Counselor to help locate units, apply for housing, and become acclimated to their new communities. • Participants may receive up to \$2000 towards security deposits or move-in fees. </td> </tr> </table> <table border="1" data-bbox="180 1732 1455 1770"> <tr> <td data-bbox="180 1732 1455 1770"> <p>Fair Housing Goal:</p> </td> </tr> </table> | <p>Fair Housing Goal:</p> | <p><i><u>Describe fair housing strategies and actions to achieve the goal</u></i></p> | <p>AFFH Goal #1: Re-introduce the Mobility Counseling Program</p> <ul style="list-style-type: none"> • The HACC will re-vamp its previous mobility counseling program to educate participants living in high poverty census tracts about the benefits of moving to areas of greater opportunity. • Participants will attend workshops on financial literacy, landlord/tenant relations, housing search, and good neighbor relationships. • Participants will work with a Mobility Counselor to help locate units, apply for housing, and become acclimated to their new communities. • Participants may receive up to \$2000 towards security deposits or move-in fees. | <p>Fair Housing Goal:</p> |
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| <p>Fair Housing Goal:</p> | | | | | |

Describe fair housing strategies and actions to achieve the goal

AFFH Goal #2: Improve the Agency's efficiency by increasing administrative support for Housing Specialists and establish policies and procedures to bolster customer service operations.

- The HACC will continue to offer regular training sessions on Fair Housing, Customer Service Best Practices, HUD Changes and Guidelines, and other relevant topics to maintain Employee expertise and encourage professional development.
- The HACC will ensure that all Housing Specialists are thoroughly trained and certified upon hiring.
- The HACC will offer cross-training opportunities to promote efficient customer service within the Agency.

Fair Housing Goal:

Describe fair housing strategies and actions to achieve the goal

AFFH Goal #3: Expand & Improve the HCV Homeownership Program

- Increase the number of participants enrolled in the HCV Homeownership Program.
- Collaborate with community-based organizations and HUD-approved Housing Counseling Agencies to educate potential participants on homeownership.
- Conduct a Resource Fair with community-based organizations, HUD-approved housing counseling agencies, banks, real estate attorneys, inspection services, home maintenance businesses, etc. to provide introductions to all the necessary services for homeownership.

Instructions for Preparation of Form HUD-50075-ST Annual PHA Plan for Standard and Troubled PHAs

A. PHA Information. All PHAs must complete this section. (24 CFR §903.4)

A.1 Include the full PHA Name, PHA Code, PHA Type, PHA Fiscal Year Beginning (MM/YYYY), PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type, and the Availability of Information, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. (24 CFR §903.23(4)(e))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))

B. Plan Elements. All PHAs must complete this section.

B.1 Revision of Existing PHA Plan Elements. PHAs must:

Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the “yes” box. If an element has not been revised, mark “no.” (24 CFR §903.7)

Statement of Housing Needs and Strategy for Addressing Housing Needs. Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA’s strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income); (ii) elderly families (iii) households with individuals with disabilities, and households of various races and ethnic groups residing in the jurisdiction or on the public housing and Section 8 tenant-based assistance waiting lists based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The statement of housing needs shall be based on information provided by the applicable Consolidated Plan, information provided by HUD, and generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Once the PHA has submitted an Assessment of Fair Housing (AFH), which includes an assessment of disproportionate housing needs in accordance with 24 CFR §5.154(d)(2)(iv), information on households with individuals with disabilities and households of various races and ethnic groups residing in the jurisdiction or on the waiting lists no longer needs to be included in the Statement of Housing Needs and Strategy for Addressing Housing Needs. (24 CFR §903.7(a)).

The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. (24 CFR §903.7(a)(2)(i)) Provide a description of the ways in which the PHA intends, to the maximum extent practicable, to address those housing needs in the upcoming year and the PHA’s reasons for choosing its strategy. (24 CFR §903.7(a)(2)(ii))

Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b)) Describe the PHA’s admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA’s policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. (24 CFR §903.7(b)) Describe the PHA’s procedures for maintain waiting lists for admission to public housing and address any site-based waiting lists. (24 CFR §903.7(b)). A statement of the PHA’s policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. (24 CFR §903.7(b)) Describe the unit assignment policies for public housing. (24 CFR §903.7(b))

Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA’s anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. (24 CFR §903.7(c))

Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. (24 CFR §903.7(d))

Operation and Management. A statement of the rules, standards, and policies of the PHA governing maintenance and management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA. (24 CFR §903.7(e))

Grievance Procedures. A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants. (24 CFR §903.7(f))

Homeownership Programs. A description of any Section 5h, Section 32, Section 8y, or HOPE I public housing or Housing Choice Voucher (HCV) homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. (24 CFR §903.7(k))

Community Service and Self Sufficiency Programs. Describe how the PHA will comply with the requirements of (24 CFR §903.7(l)). Provide a description of: 1) Any programs relating to services and amenities provided or offered to assisted families; and 2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs subject to Section 3 of the Housing and Urban Development Act of 1968 (24 CFR Part 135) and FSS. (24 CFR §903.7(l))

Safety and Crime Prevention (VAWA). Describe the PHA’s plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must provide development-by-development or jurisdiction wide-basis: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the

coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities. (24 CFR §903.7(m)) A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. (24 CFR §903.7(m)(5))

Pet Policy. Describe the PHA's policies and requirements pertaining to the ownership of pets in public housing. (24 CFR §903.7(n))

Asset Management. State how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory. (24 CFR §903.7(q))

Substantial Deviation. PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. (24 CFR §903.7(r)(2)(i))

Significant Amendment/Modification. PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. For modifications resulting from the Rental Assistance Demonstration (RAD) program, refer to the 'Sample PHA Plan Amendment' found in Notice PIH-2012-32 REV-3, successor RAD Implementation Notices, or other RAD Notices.

If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.

PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b))

B.2 New Activities. If the PHA intends to undertake any new activities related to these elements in the current Fiscal Year, mark "yes" for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark "no."

HOPE VI or Choice Neighborhoods. **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Choice Neighborhoods; and **2)** A timetable for the submission of applications or proposals. The application and approval process for HOPE VI or Choice Neighborhoods is a separate process. See guidance on HUD's website at:

https://www.hud.gov/program_offices/public_indian_housing/programs/ph/hope6 . (Notice PIH 2011-47)

Mixed Finance Modernization or Development. **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD's website at:

https://www.hud.gov/program_offices/public_indian_housing/programs/ph/hope6/mfph#4

Demolition and/or Disposition. With respect to public housing only, describe any public housing development(s), or portion of a public housing development projects, owned by the PHA and subject to ACCs (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition approval under section 18 of the 1937 Act (42 U.S.C. 1437p); and **2)** A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed as described in the PHA's last Annual and/or 5-Year PHA Plan submission. The application and approval process for demolition and/or disposition is a separate process. Approval of the PHA Plan does not constitute approval of these activities. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm. (24 CFR §903.7(h))

Designated Housing for Elderly and Disabled Families. Describe any public housing projects owned, assisted or operated by the PHA (or portions thereof), in the upcoming fiscal year, that the PHA has continually operated as, has designated, or will apply for designation for occupancy by elderly and/or disabled families only. Include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission; **5)** the number of units affected and; **6)** expiration date of the designation of any HUD approved plan. **Note:** The application and approval process for such designations is separate from the PHA Plan process, and PHA Plan approval does not constitute HUD approval of any designation. (24 CFR §903.7(i)(C))

Conversion of Public Housing under the Voluntary or Mandatory Conversion programs. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>. (24 CFR §903.7(j))

Conversion of Public Housing under the Rental Assistance Demonstration (RAD) program. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA plans to voluntarily convert to Project-Based Rental Assistance or Project-Based Vouchers under RAD. See additional guidance on HUD's website at: [Notice PIH 2012-32 REV-3, successor RAD Implementation Notices, and other RAD notices.](#)

Occupancy by Over-Income Families. A PHA that owns or operates fewer than two hundred fifty (250) public housing units, may lease a unit in a public housing development to an over-income family (a family whose annual income exceeds the limit for a low income family at the time of initial occupancy), if all the following conditions are satisfied: (1) There are no eligible low income families on the PHA waiting list or applying for public housing assistance when the unit is leased to an over-income family; (2) The PHA has publicized availability of the unit for rental to eligible low income families, including publishing public notice of such availability in a newspaper of general circulation in the jurisdiction at least thirty days before offering the unit to an over-income family; (3) The over-income family rents the unit on a month-to-month basis for a rent that is not less than the PHA's cost to operate the unit; (4) The lease to the over-income family provides that the family agrees to vacate the unit when needed for rental to an eligible family; and (5) The PHA gives the over-income family at least thirty days notice to vacate the unit when the unit is needed for rental to an eligible family. The PHA may incorporate information on occupancy by over-income families into its PHA Plan statement of deconcentration and other policies that govern eligibility, selection, and admissions. See additional guidance on HUD's website at: [Notice PIH 2011-7.](#) (24 CFR 960.503) (24 CFR 903.7(b))

Occupancy by Police Officers. The PHA may allow police officers who would not otherwise be eligible for occupancy in public housing, to reside in a public housing dwelling unit. The PHA must include the number and location of the units to be occupied by police officers, and the terms and conditions of their tenancies; and a statement that such occupancy is needed to increase security for public housing residents. A “police officer” means a person determined by the PHA to be, during the period of residence of that person in public housing, employed on a full-time basis as a duly licensed professional police officer by a Federal, State or local government or by any agency of these governments. An officer of an accredited police force of a housing agency may qualify. The PHA may incorporate information on occupancy by police officers into its PHA Plan statement of deconcentration and other policies that govern eligibility, selection, and admissions. See additional guidance on HUD’s website at: [Notice PIH 2011-7. \(24 CFR 960.505\)](#) (24 CFR 903.7(b))

Non-Smoking Policies. The PHA may implement non-smoking policies in its public housing program and incorporate this into its PHA Plan statement of operation and management and the rules and standards that will apply to its projects. See additional guidance on HUD’s website at: [Notice PIH 2009-21 and Notice PIH-2017-03. \(24 CFR §903.7\(e\)\)](#)

Project-Based Vouchers. Describe any plans to use Housing Choice Vouchers (HCVs) for new project-based vouchers, which must comply with PBV goals, civil rights requirements, Housing Quality Standards (HQS) and deconcentration standards, as stated in 983.57(b)(1) and set forth in the PHA Plan statement of deconcentration and other policies that govern eligibility, selection, and admissions. If using project-based vouchers, provide the projected number of project-based units and general locations, and describe how project-basing would be consistent with the PHA Plan ([24 CFR §903.7\(b\)](#)).

Units with Approved Vacancies for Modernization. The PHA must include a statement related to units with approved vacancies that are undergoing modernization in accordance with [24 CFR §990.145\(a\)\(1\)](#).

Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

For all activities that the PHA plans to undertake in the current Fiscal Year, provide a description of the activity in the space provided.

B.3 Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year PHA Plan. ([24 CFR §903.7\(r\)\(1\)](#))

B.4 Capital Improvements. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section ([24 CFR §903.7 \(g\)](#)). To comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan in EPIC and the date that it was approved. PHAs can reference the form by including the following language in the Capital Improvement section of the appropriate Annual or Streamlined PHA Plan Template: “See Capital Fund 5 Year Action Plan in EPIC approved by HUD on XX/XX/XXXX.”

B.5 Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark “yes” and describe those findings in the space provided. ([24 CFR §903.7\(p\)](#))

C. Other Document and/or Certification Requirements.

C.1 Resident Advisory Board (RAB) comments. If the RAB had comments on the annual plan, mark “yes,” submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA’s decision made on these recommendations. ([24 CFR §903.13\(c\)](#), [24 CFR §903.19](#))

C.2 Certification by State or Local Officials. Form HUD-50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan. ([24 CFR §903.15](#)). Note: A PHA may request to change its fiscal year to better coordinate its planning with planning done under the Consolidated Plan process by State or local officials as applicable.

C.3 Civil Rights Certification/ Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 ST-HCV-HP, *PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed*. Form HUD-50077-ST-HCV-HP, *PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed* must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the certification requirement to affirmatively further fair housing if the PHA fulfills the requirements of §§ 903.7(o)(1) and 903.15(d) and: (i) examines its programs or proposed programs; (ii) identifies any fair housing issues and contributing factors within those programs, in accordance with 24 CFR 5.154 or 24 CFR 5.160(a)(3) as applicable; (iii) specifies actions and strategies designed to address contributing factors, related fair housing issues, and goals in the applicable Assessment of Fair Housing consistent with 24 CFR 5.154 in a reasonable manner in view of the resources available; (iv) works with jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement; (v) operates programs in a manner consistent with any applicable consolidated plan under 24 CFR part 91, and with any order or agreement, to comply with the authorities specified in paragraph (o)(1) of this section; (vi) complies with any contribution or consultation requirement with respect to any applicable AFH, in accordance with 24 CFR 5.150 through 5.180; (vii) maintains records reflecting these analyses, actions, and the results of these actions; and (viii) takes steps acceptable to HUD to remedy known fair housing or civil rights violations. impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction’s initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. ([24 CFR §903.7\(o\)](#)).

C.4 Challenged Elements. If any element of the Annual PHA Plan or 5-Year PHA Plan is challenged, a PHA must include such information as an attachment to the Annual PHA Plan or 5-Year PHA Plan with a description of any challenges to Plan elements, the source of the challenge, and the PHA’s response to the public.

C.5 Troubled PHA. If the PHA is designated troubled, and has a current MOA, improvement plan, or recovery plan in place, mark “yes,” and describe that plan. Include dates in the description and most recent revisions of these documents as attachments. If the PHA is troubled, but does not have any of these items, mark “no.” If the PHA is not troubled, mark “N/A.” ([24 CFR §903.9](#))

D. Affirmatively Furthering Fair Housing (AFFH).

D.1 Affirmatively Furthering Fair Housing. The PHA will use the answer blocks in item D.1 to provide a statement of its strategies and actions to implement each fair housing goal outlined in its accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5) that states, in relevant part: “To implement goals and priorities in an AFH, strategies and actions shall be included in program participants’ ... PHA Plans (including any plans incorporated therein) Strategies and actions must affirmatively further fair housing” Use the chart provided to specify each fair housing goal from the PHA’s AFH for which the PHA is the responsible program participant – whether the AFH was prepared solely by the PHA, jointly with one or more other PHAs, or in collaboration with a state or local jurisdiction – and specify the fair housing strategies and actions to be implemented by the PHA during the period covered by this PHA Plan. If there are more than three fair housing goals, add answer blocks as necessary.

Until such time as the PHA is required to submit an AFH, the PHA will not have to complete section D., nevertheless , the PHA will address its obligation to affirmatively further fair housing in part by fulfilling the requirements at 24 CFR 903.7(o)(3) enacted prior to August 17, 2015, which means that it examines its own programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction’s initiatives to affirmatively further fair housing that require the PHA’s involvement; and maintain records reflecting these analyses and actions. Furthermore, under Section 5A(d)(15) of the U.S. Housing Act of 1937, as amended, a PHA must submit a civil rights certification with its Annual PHA Plan, which is described at 24 CFR 903.7(o)(1) except for qualified PHAs who submit the Form HUD-50077-CR as a standalone document.

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan.

Public reporting burden for this information collection is estimated to average 7.52 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.